

BAKER & MCKENZIE

Facsimile Transmission

Baker & McKenzie LLP
 Pennzoil Place, South Tower
 711 Louisiana, Suite 3400
 Houston, Texas 77002-2746, USA

Tel: +1 713 427 5000
 Fax: +1 713 427 5099
www.bakernet.com

RECEIVED
CENTRAL FAX CENTER
FEB 01 2006

Date	February 1, 2006	Phone	
To	United States Patent and Trademark Office		
From	Susan Jensen, Baker & McKenzie LLP	713.427.5047	713.427.5099

Client/Matter No. 32144183-000014

Re	Re:	Country.: US
	Serial No.:	09/827,255
	Title:	"Compositions and Methods for the Targeted Delivery of Agents to Treat Liver Cancer"
	Priority Date:	April 5, 2001
	Filing Date:	April 5, 2001
	Assignee(s):	Hong Kong University of Science and Technology
	Inventor(s):	Jeffrey Tze Fei Wong, Shui Ying Tsang
	Client Ref#:	TTC.PA.121
	Our Ref#:	32144183-001336

Pages (w/cover) 5

Privacy And Confidentiality Notice

The Information contained in this facsimile is intended for the named recipients only. It may contain privileged and confidential information and if you are not an intended recipient, you must not copy, distribute or take any action in reliance on it. If you have received this facsimile in error, please notify us immediately by a collect telephone call to Office Services at +1 713 427 5068 and return the original to the sender by mail. We will reimburse you for the postage.

Baker & McKenzie LLP is a member of Baker & McKenzie International, a Swiss Verein.

RECEIVED
CENTRAL FAX CENTER

FEB 01 2006

PTO/SB/21 (08-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL
FORM

(To be used for all correspondence after initial filing)

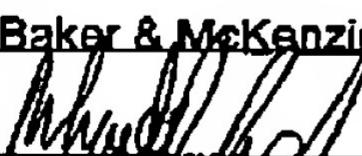
Total Number of Pages In This Submission

Application Number	09/827,255
Filing Date	April 5, 2001
First Named Inventor	Wong
Art Unit	1636
Examiner Name	Schultz, J.D.
Total Number of Pages In This Submission	Attorney Docket Number 32144183-001336

ENCLOSURES (Check all that apply)

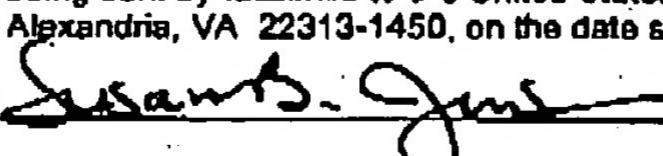
<input type="checkbox"/> Req. for Continued Examination	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request – fee attached	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Transmittal, Certificate of Facsimile, SB/80, and SB/96.
<input type="checkbox"/> Information Disclosure Statement – fee attached	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Baker & McKenzie LLP		
Signature			
Printed name	Michael D. Berger, Ph.D.		
Date	February 1, 2006	Reg. No.	52,616

I hereby certify that this correspondence is being sent by facsimile to the United States Patent and Trademark Office addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below to facsimile no. 571.273.8300.

Dated: February 1, 2006

Signature:  (Susan B. Jensen)

RECEIVED
CENTRAL FAX CENTER

FEB 01 2006

PROSACR (11-04)

Approved for use through 11/04/2008. GPO: 0861-0030
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).

I hereby appoint:



Practitioner(s) associated with the Customer Number:

51738

OR



Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number	Name	Registration Number

an attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to:



The address associated with Customer Number:

51738

OR

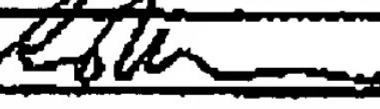
<input type="checkbox"/> Firm or Individual Name			
Address			
City	State	Zip	
Country			
Telephone	Fax		

Assignee Name and Address:

The Hong Kong University of Science and Technology
Clear Water Bay, Kowloon, Hong Kong SAR

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/68 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form, if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record
The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature		Date 18 JAN 2006
Name	Prof. Roland Chin	Telephone 23586161
Title	Vice President for Research and Development	

The collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to be (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Times are very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-USPTO-8160 and select option 2.

BEST AVAILABLE COPY

PTO/SB/96 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)**RECEIVED****CENTRAL FAX CENTER**Applicant/Patent Owner: Hong Kong University of Science & TechnologyFEB 01 2006Application No./Patent No.: 09/827,255 Filed/Issue Date: April 5, 2001

Entitled: Compositions and Methods for the Targeted Delivery of Agents to Treat Liver Cancer

Hong Kong University of Science & Technology, a University
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. the assignee of the entire right, title, and interest; or
2. an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 011715, Frame 0692, or for which a copy thereof is attached.

OR

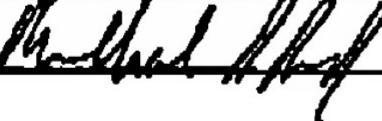
B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

 Additional documents in the chain of title are listed on a supplemental sheet. Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.06]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.


Michael D. Berger, Ph.D.February 1, 2006

Signature

Date

Michael D. Berger, Ph.D.(713) 427-5031

Printed or Typed Name

Telephone Number

Patent Agent, Reg. No. 52,816

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/97 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

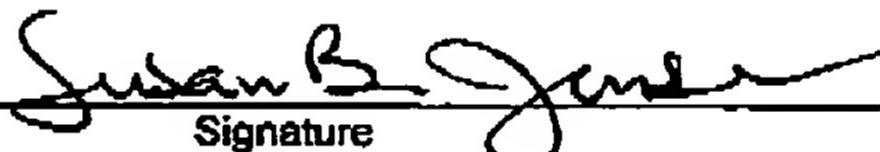
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application No. (if known): 09/827,255

Attorney Docket No.: 32144183-001336

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being sent by facsimile to the United States Patent and Trademark Office. 571.273.8300

on February 1, 2006
Date

Signature

Susan B. Jensen

Typed or printed name of person signing Certificate

Registration Number, if applicable

713-427-5047

Telephone Number

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

Transmittal,
Certificate of Facsimile,
SB/80, and
SB/96.

HOUDMS/187056.1

PAGE 5/5 * RCVD AT 2/1/2006 4:20:07 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/26 * DNIS:2738300 * CSID: * DURATION (mm:ss):01-14